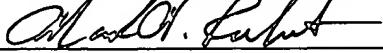


04-12-01

 <b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for nonprovisional applications under 37 CFR § 1.53(b))</small>	<b>Attorney Docket No.</b> 500188.03	<b>April 10, 2001</b>
	<b>First Inventor or Application Identifier</b> Jason B. Elledge	
	<b>Title</b> <b>APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANOPLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME</b>	
	<b>Express Mail Label No.</b> EL 647552127 US	
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> Box Patent Application Commissioner of Patents Washington, D.C. 20231
1. <input type="checkbox"/> Fee Transmittal Sheet <small>(Submit an original and a duplicate for fee processing)</small> 2. <input type="checkbox"/> Applicant(s) Claim(s) Small Entity Status 3. <input checked="" type="checkbox"/> Specification [Total Pages] <b>28</b> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings ( <i>if filed</i> ) - Detailed Description - Claim(s) - Abstract of the Disclosure <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] <b>9</b> <input checked="" type="checkbox"/> Oath or Declaration [Total Pages] <b>1</b> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small> i. <input type="checkbox"/> DELETION OF INVENTOR(S) <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small> 6. <input checked="" type="checkbox"/> Application Data Sheet (37 C.F.R. 1.76)		
<b>ACCOMPANYING APPLICATION PARTS</b> <ul style="list-style-type: none"> <li>9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)</li> <li>10. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</li> <li>11. <input checked="" type="checkbox"/> 37 CFR 3.73(b) Statement  <small>(when there is an assignee)</small> <input checked="" type="checkbox"/> Power of Attorney</li> <li>12. <input type="checkbox"/> English Translation Document (<i>if applicable</i>)</li> <li>13. <input checked="" type="checkbox"/> Preliminary Amendment Total Pages <b>2</b></li> <li>14. <input checked="" type="checkbox"/> Return Receipt Postcard</li> <li>15. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</li> <li>16. <input type="checkbox"/> Certified Copy of Priority Document(s)  <small>(if foreign priority is claimed)</small></li> <li>17. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u>  <u>Copy of Revocation and Subst. POA</u></li> </ul>		
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-In-Part (CIP) of prior Application No.: <u>09/300,358</u> Filed <u>April 26, 1999</u> Prior application information: Examiner <u>Willie Berry, Jr.</u> Group / Art Unit <u>3723</u> <input checked="" type="checkbox"/> Incorporation By Reference ( <i>useable if box 5b is checked</i> ) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		
<b>CORRESPONDENCE ADDRESS</b>		
Mark W. Roberts, Esq. Dorsey & Whitney LLP 1420 Fifth Avenue, Suite 3400 Seattle, Washington 98101-4010 (206) 903-8800 phone (206) 903-8820 fax		<b>Customer No. 27,076</b>
TYPED or PRINTED NAME <u>Mark W. Roberts, Ph.D.</u>		<b>REGISTRATION NO. 46,160</b>
SIGNATURE 		Date <u>April 10, 2001</u>

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jason B. Elledge      Attorney Docket No.: 500188.03

Filed : April 10, 2001

Attorney Docket No.: 500188.03

Title : APPARATUS

**Title : APPARATUS FOR IN-SITU OPTICAL ENDPOINTING ON WEB-FORMAT PLANARIZING MACHINES IN MECHANICAL OR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC-DEVICE SUBSTRATE ASSEMBLIES AND METHODS FOR MAKING AND USING SAME**



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Respectfully submitted,

# DORSEY & WHITNEY LLP

— Janette West  
/ for West Courier

MWR:sj

**Enclosures:**

## Postcard

Form PTO/SB/05

## Application Data Sheet

### Preliminary Amendment

## Copy of Specification, Claims, Abstract (28 pages)

### **Copy of 9 Sheets of Drawings (Figures 1-9)**

**Copy of Declaration**

## Copy of Election and Power of Attorney

## Copy of Revocation and Substitute Power of Attorney

Information Disclosure Statement and Form PTO-1449